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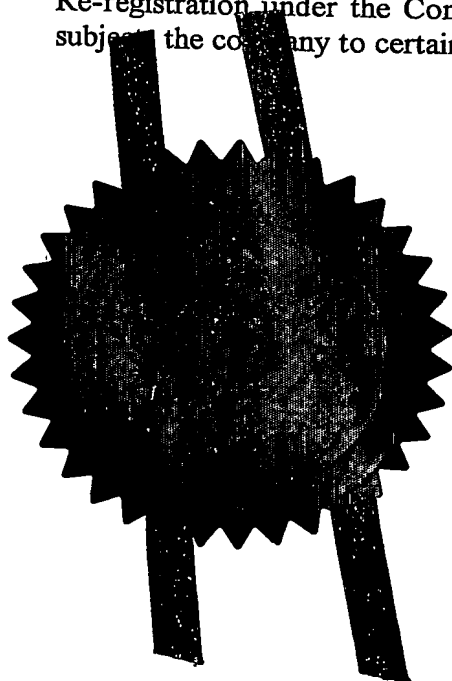
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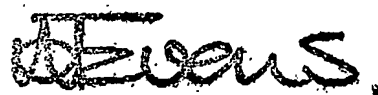
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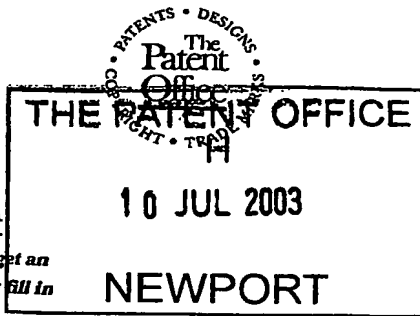


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1. Your reference

RD 446

2. Patent application number

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0316171.8

10 JUL 2003

3. Full name, address and postcode of the or of each applicant (underline all surnames)

British American Tobacco (Investments) Limited  
Globe House  
1 Water Street  
London WC2R 3LA  
07515778003 ✓

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

England & Wales

4. Title of the invention

Improvements relating to Smoking Article Filters

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Margot Ruth WOLFORD  
Patents Department  
British American Tobacco  
R&D Centre  
Regents Park Road  
Southampton SO15 8TL

Patents ADP number (if you know it)

07515778004 ✓

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

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Date of filing  
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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

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Patents Form 1/77

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Description

7

Claim(s)

Abstract

Drawing(s)

1+1 JML

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Priority documents

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Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

M. Margot Walford

Date 9. 7. 83

12. Name and daytime telephone number of person to contact in the United Kingdom

Mrs Margot Walford

02380 793730

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## Improvements relating to Smoking Article Filters

The present invention relates to smoke filters in particular, but not exclusively, for smoking articles.

Filters capable of removing various components from tobacco smoke and/or improving the taste characteristics of smoke delivered to the consumer have been described in a number of patents.

For example, GB 1 410 048 describes one such filter in which longitudinally extending regions of the filter are separated by a diaphragm of vapour-porous material, preferably a highly porous paper, into at least one region providing a through path for smoke and at least one region which is filled with carbon and which is closed against smoke flow through the carbon. The aim of the filter is to alleviate the adverse effect of carbon on the taste of the tobacco smoke delivered to the consumer.

Previously described filters utilising carbon as an adsorbent for vapour phase constituents of cigarette smoke have aimed to alleviate the "off-taste" provided by that carbon material when a cigarette with a carbon-containing filter is smoked by a consumer.

Methods of alleviating carbon "off-taste" have included coating individual carbon granules with a barrier layer without bonding the granules together. Another method, utilising highly porous paper blocked at both ends by a disc of either plastic or a sealing compound such as polyvinyl acetate adhesive, results in little carbon "off-taste" being delivered to the consumer.

The previously described filters are not concerned with the poisoning of the adsorbent/carbon within the filter. Carbon poisoning results in reduced adsorption efficiency for the vapour phase constituents of smoke.

In addition, filters containing discs and the like are difficult and costly to manufacture at the high speeds required in the cigarette-making industry.

The present invention has as an aim the provision of a smoke filter which is capable of separating the vapour phase and the particulate phase of mainstream cigarette smoke.

When referred to herein "mainstream smoke" shall be taken to mean the smoke leaving the butt end of the smoking article during the smoking process.

A further aim of the present invention is to provide a smoke filter in which an adsorbent and/or catalyst incorporated therein is protected from poisoning by the particulate phase of smoke, thus, increasing the capability of the adsorbent and/or catalyst for reducing vapour phase constituents of the smoke.

An even further aim of the present invention is to provide a smoke filter which, in combination with a rod of smoking material comprising non-volatile flavour or a flavour stabilised, for example, by encapsulation, prevents poisoning of an adsorbent and/or catalyst in the filter, thus ensuring maximum adsorbent activity and flavour yield.

It is also an aim of the present invention to provide a smoke filter which is relatively simple and cheap to manufacture at the high speeds required in the cigarette-making industry.

The present invention provides a smoke filter comprising a first portion and a second portion, said first portion being closed against particulate material flow and said second portion providing a through path for particulate material flow, said first portion and said second portion being separated by barrier means, said barrier means having pores therein, which pores have a pore size of less than about  $0.1\mu\text{m}$ .

Advantageously the barrier means is porous to the vapour phase of smoke, and may be formed from a flexible or a rigid material. Advantageously the barrier means may be formed from a vapour porous polymeric material. Preferably the polymeric material may be selected from the group consisting of polyvinylidene fluoride, polyvinyl chloride, polycarbonate, nylon, Teflon™ (PTFE), cellulose acetate or nitrocellulose. Other suitable polymeric materials will be well known to the skilled artisan. Alternatively the barrier means may be a vapour porous ceramic material. It will be readily apparent to the person skilled in the art that the ceramic barrier material will be relatively rigid in construction. Advantageously the ceramic barrier material will remain relatively impervious to particulate material flow during the smoking process.

In a further alternative the barrier means may comprise a vapour porous paper.

In a first embodiment of the present invention it is much by preference that the first portion of the tobacco smoke filter comprises an adsorbent material. Preferably the adsorbent material is a general adsorbent. Most preferably the adsorbent is a carbonaceous material such as, for example, activated carbon. Suitably the carbonaceous material may be in the form of a thread, particles/granules, cloth, paper or a reconstituted carbon-containing sheet, or any other suitable form whatsoever. Other suitable adsorbent materials will be well known in the art.

In a second embodiment of the present invention, the first portion of the smoke filter may comprise a catalyst. Advantageously the catalyst facilitates the conversion of carbon monoxide (CO) to carbon dioxide (CO<sub>2</sub>) in the vapour phase of the smoke. It is much by preference that the catalyst is highly selective for carbon monoxide. Preferably the catalyst may be one of the group consisting of Gold (Au) on a Copper-zinc oxide (CuZnO) or Gold

(Au) on a Zinc aluminium oxide (ZnAlO), both catalysts being available from Mintek, South Africa.

A third embodiment of the present invention provides a filter wherein the first portion thereof comprises a selective adsorbent. Preferably the selective adsorbent may be selected from the group consisting of an ion-exchange resin, zeolite, silica, Duolite™, amberlite or any other suitable selective adsorbent known to the person skilled in the art.

The alternative embodiments of the present invention may be exclusive of one another or, alternatively, may be combined to provide a filter comprising a first portion, which first portion comprises an adsorbent and a catalyst. Alternatively, the first portion of the filter may comprise a catalyst and a further third portion may comprise an adsorbent. In this alternative arrangement the third portion of the filter is preferably located upstream of the first portion of the filter, i.e. towards the smoking material end thereof. It is much by preference that both the first portion and the third portion are closed to particulate phase flow. Most preferably the third portion of the filter is closed against particulate phase flow by the barrier means described hereinbefore.

Preferably the first portion of the smoke filter, and the third portion (if present), may be a cavity containing an adsorbent and/or catalyst or, alternatively, may comprise a conventional smoke filtration material having an adsorbent and/or catalyst dispersed therein.

Advantageously the adsorbent is capable of retaining at least a portion of the vapour phase of smoke.

It is much by preference that the second portion of the smoke filter of the present invention comprises a conventional smoke filtration material. Suitable conventional materials include cellulose acetate, paper, polypropylene and other materials that will be well known to persons skilled in the art and capable of retaining at least a portion of the particulate phase of smoke.

Preferably the first and second portions of the smoke filter of the present invention are arranged in co-axial alignment.

It is much by preference that the first portion forms the inner core and the second portion forms the outer annulus of a core-annulus arrangement of the filter of the present invention. Alternatively the second portion of the filter may form the core and the first portion may form the outer annulus of such an arrangement.

In a further alternative arrangement of the smoke filter of the present invention, the first portion may be formed of a number of discrete, substantially longitudinal segments arranged in co-axial alignment within the second portion of the filter. In the further alternative

arrangement, each segment of the first portion will be separated from the second portion by barrier means, and will be closed against particulate phase flow.

Other suitable arrangements may be taken as forming part of the present invention.

In each arrangement of the smoke filter of the present invention the first portion and third portion (if present) of the filter is closed to the through flow of particulate phase material, whereas the second portion of the filter provides a through flow path for particulate phase material. Advantageously, the first portion is closed to the through flow of particulate phase material at the upstream end thereof i.e. the tobacco rod end thereof. Closure of the first portion may suitably be achieved by a plug. Advantageously the plug may be formed from any material through which the particulate phase of tobacco smoke cannot pass. Preferably the plug is formed from a high pressure drop cellulose acetate, plastic, metal or the barrier material described hereinabove. Other materials suitable for use as a plug will be readily appreciated by a person skilled in the art.

The smoke filter of the present invention may further comprise additional portions of conventional smoke filtration material. For example, the first, second and third (if present) portions may be in co-axial alignment with one, or more, additional filter portions. Preferably the additional portions of the filter are in end-to end abutment with the first, second and third (if present) portions of the filter. The additional portions may suitably be comprised of cellulose acetate, for example.

Suitably the filter of the present invention may be wrapped in a plug wrap. In addition, the filter may be attached to a rod of smoking material by means of a tipping wrapper. It is much by preference that the tipping wrapper is ventilated by means of ventilation holes therein. Advantageously the tipping wrapper is a paper.

The present invention further provides a smoking article comprising a smoke filter of the present invention in combination with a rod of smoking material wrapped in a wrapper. Preferably the wrapper is a paper. Types of paper suitable for use in a smoking article of the present invention will be readily known to the skilled artisan.

Preferably the rod of smoking material comprises tobacco. Alternatively, or in addition, the smoking material may comprise a tobacco substitute material.

The present invention even further provides a smoking article comprising a smoke filter of the present invention in combination with a rod of smoking material wrapped in a wrapper, the smoking material comprising a flavourant.

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Advantageously the flavourant is in stabilised or encapsulated form. Alternatively, the flavourant may be a non-volatile flavourant. When used for tobacco products, essential oils,

natural extracts and blended flavour formulations are particularly suitable flavourants. Particularly suitable for use in the tobacco industry are, for example, menthol, vanillin, benzaldehyde, cinamaldehyde, furaneol, herb oils, spice oils and citrus oils. Most preferably the flavourant is menthol.

Flavourants suitable for inclusion in a smoking article of the present invention may be stabilised or encapsulated by any appropriate means. Stabilisation of flavourants for incorporation into a smoking article of the present invention may be achieved, for example, by means of the methods described in European Patent 0840 555 or European Patent 110 5006, both of which are incorporated herein by reference.

During smoking of a smoking article of the present invention mainstream smoke, including a vapour phase and a particulate phase, is drawn into the upstream end of the smoke filter. The mainstream smoke is drawn through the second portion of the smoke filter. The vapour phase of the mainstream smoke diffuses through pores in the barrier means into the first portion of the smoke filter wherein various components of the vapour phase are adsorbed and/or catalysed by the adsorbent and/or catalyst within the first portion of the filter. The remaining vapour phase and particulate phase is drawn into the mouth of the consumer through the downstream end of the smoke filter.

It is much by preference that the ventilation level of the smoke filter is such that the vapour phase of the mainstream smoke has a residence time within the filter that enables the diffusion thereof into the first portion of the smoke filter. Suitable ventilation levels and smoke flow rates through the smoke filter will be readily derivable by the person skilled in the art by routine experimentation. The provision of ventilation in the smoke filter of the present invention decreases the flow rate of the mainstream smoke through the filter. Ventilation also serves to dilute the mainstream smoke with air during the smoking process. A reduced flow rate and dilution of the mainstream smoke increase the efficiency of an adsorbent and/or catalyst within the smoke filter.

In order that the present invention be clearly understood and readily carried into effect, reference will now be made, by way of example, to the following diagrammatic drawings, in which:

Figure 1 shows a longitudinal, cross-sectional representation of a filter according to the present invention;

Figure 2 shows a longitudinal, cross-sectional representation of an alternative arrangement of a filter according to the present invention;



Figure 3 shows a longitudinal, cross-sectional representation of a further alternative arrangement of a filter according to the present invention;

Figure 4 shows a longitudinal, cross-sectional representation of an even further alternative arrangement of a filter according to the present invention.

Figure 1 shows a smoke filter 1 in accordance with a first embodiment of the present invention. Tobacco smoke filter 1 is formed from a co-axial, core-annulus arrangement in which a first portion 3 forms the core and a second portion 4 forms the annulus of the arrangement. First portion 3, comprising an adsorbent and/or a catalyst (not shown), is separated from the second portion 4 by barrier means 2. The barrier means 2 is formed from a polymeric membrane having pores therein of pore size less than about  $0.1\mu\text{m}$ . The smoke filter 1 of Figure 1 further comprises a plug 5, which plug 5 is formed from a high pressure drop cellulose acetate material. The high pressure drop cellulose acetate material is of a pressure drop sufficient to render the section substantially impervious to particulate phase material. Plug 5 is in co-axial alignment with the first portion 3 and the second portion 4. Plug 5 is preferably substantially impermeable to the particulate phase of mainstream tobacco smoke, thus closing first portion 3 to the through flow of the particulate phase.

In the arrangement shown in Figure 1 upstream filter portion 6 forms an annulus around plug 5, the annulus providing a through path for mainstream smoke flow. Downstream filter portion 7 is an optional additional filter segment which provides the filter 1 with a mouth-end that is aesthetically acceptable to consumers. Downstream filter portion 7, and upstream filter portion 6 are formed from a conventional tobacco smoke material such as, for example, cellulose acetate.

In a smoking article of the present invention, the filter 1 is attached to a rod of smoking material wrapped in a wrapper (not shown) by a tipping wrapper (8). In addition, the filter 1 may optionally be wrapped in a plug wrap (not shown). The filter 1 is ventilated by ventilation holes (not shown) in the tipping wrapper 8. In order to provide ventilation holes, the tipping wrapper 8 may be pre-perforated or, alternatively, may be perforated on-line by means of a laser, for example.

During smoking of the smoking article of the present invention, mainstream smoke comprising a particulate phase and a vapour phase is drawn, by the consumer, through the rod of smoking material into the upstream end of tobacco smoke filter 1. Plug 5 is impervious to the particulate phase, therefore, the mainstream smoke will be drawn through upstream filter portion 6 as a path of lower resistance. The mainstream smoke is drawn into second portion 4,

wherein the vapour phase of the mainstream smoke diffuses into first portion 3 through barrier means 2. Barrier means 2 prevents the passage of particulate phase into first portion 3.

First portion 3, containing an adsorbent and/or a catalyst (not shown) will selectively remove or reduce various constituents of the vapour phase of the mainstream smoke. The particulate phase and remaining vapour phase will be drawn into downstream filter portion 7 and finally into the mouth of the consumer.

In the Figures like reference numerals have been used to designate features in common.

Figure 2 shows a filter according to an alternative arrangement of the present invention. The smoke filter 1 has a first portion 3 containing an adsorbent and/or a catalyst, which first portion 3 is separated from the second portion 4 by barrier means 2. Barrier means 2 is a polymeric membrane containing pores of pore size  $0.1\mu\text{m}$ . The polymeric membrane is crimped at an upstream end 9 thereof, thus closing the first portion 3 against through flow of the particulate phase of smoke. The filter 1 shown in Figure 2 also has a downstream filter portion 7. The filter 1 is optionally wrapped in a plug wrap (not shown) and a tipping wrapper 8 for attachment of the filter 1 to a rod of smoking material wrapped in a wrapper (not shown).

Figure 3 shows a further alternative arrangement of a filter according to the present invention. The filter 1 has all of the features of the filter shown in Figure 2, however, the polymeric membrane 2 is not closed against through flow of particulate material by crimping thereof. Instead, plug 5 is located in the upstream end of the first portion 3 so as to close same against through flow of particulate material.

Figure 4 shows a yet further alternative arrangement of a filter according to the present invention. The filter 1 shown in Figure 4 is an inverse arrangement of the filter shown in figure 3. The first portion 3 of the filter 1 forms an annulus around second portion 4, the core section and annulus section being separated by a polymeric membrane 2. The first portion is closed at the upstream end thereof against the through flow of particulate material by plug 5. Plug 5 forms an annular ring around second portion 4, whereby particulate material has a through flow path provided by second portion 4. Vapour phase material diffuses outwardly into the first portion 3.

In any of the arrangements described above the adsorbent may be activated carbon. The catalyst described in the above arrangements may be one of Gold (Au) on a Copper zinc oxide ( $\text{CuZnO}$ ) or Gold (Au) on a Zinc aluminium oxide ( $\text{ZnAlO}$ ).

Figure 1

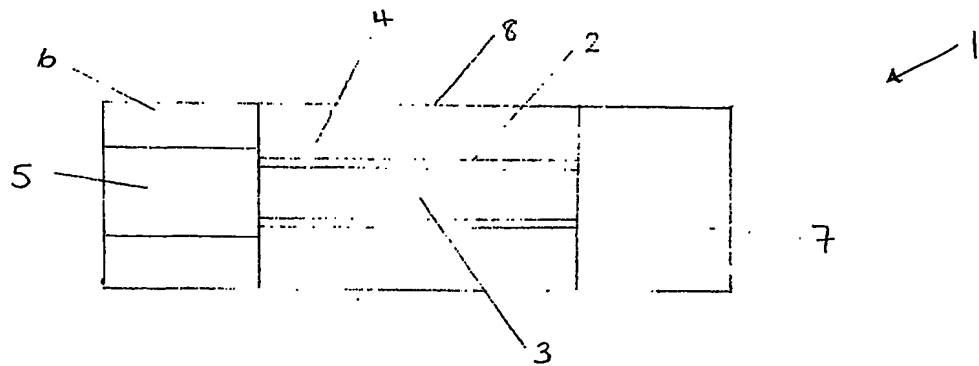


Figure 2

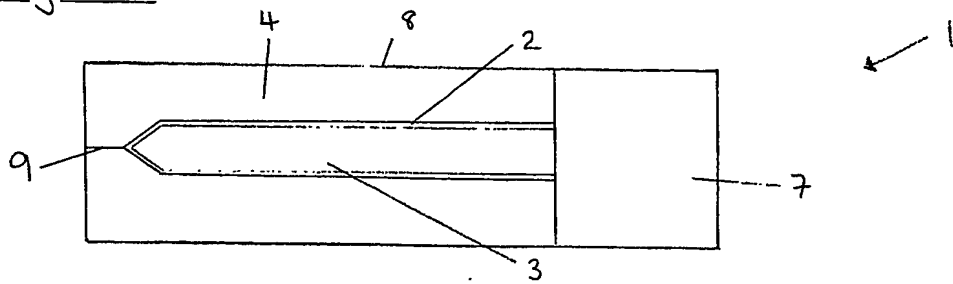


Figure 3

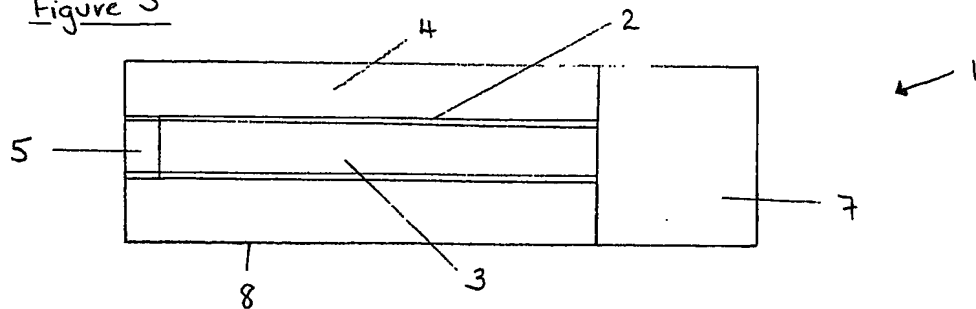
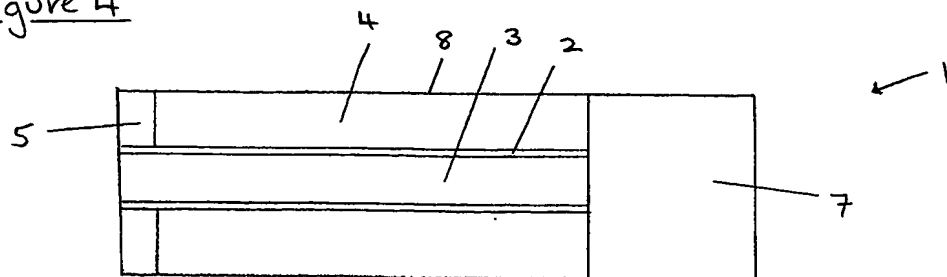


Figure 4



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